

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

6	RALPH N. DAVIS,)	
7	Plaintiff,)	No. CV-09-191-CI
8	v.)	ORDER DENYING PLAINTIFF'S
9	MICHAEL J. ASTRUE,)	MOTION FOR SUMMARY JUDGMENT
10	Commissioner of Social)	AND GRANTING DEFENDANT'S
11	Security,)	MOTION FOR SUMMARY JUDGMENT
12	Defendant.)	

BEFORE THE COURT are cross-Motions for Summary Judgment. (Ct. Rec. 12, 14.) Attorney Maureen J. Rosette represents Plaintiff; Special Assistant United States Attorney Willy M. Le represents Defendant. The parties have consented to proceed before a magistrate judge. (Ct. Rec. 8.) After reviewing the administrative record and the briefs filed by the parties, the court **DENIES** Plaintiff's Motion for Summary Judgment and directs entry of judgment for Defendant.

Plaintiff Ralph Davis (Plaintiff) protectively filed for disability insurance benefits (DIB) and Supplemental Security Income (SSI) on June 21, 2005.¹ (Tr. 114.) He alleges onset of disability

¹ The record shows Plaintiff's prior claim for DIB was denied on March 16, 2004. (Tr. 115.) It does not appear Plaintiff appealed this denial. The ALJ declined to reopen this claim, (Tr. 18), therefore the finding of not-disabled through March 16, 2004, is

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1 on March 14, 2000, due to "back injury, depression, mental." (Tr.
2 118.) Plaintiff's date of last insured for DIB purposes was March
3 31, 2002. (Tr. 18, 114.)

4 Following a denial of benefits at the initial stage and on
5 reconsideration, a hearing was held before Administrative Law Judge
6 (ALJ) Richard Say on April 4, 2007. (Tr. 425-49.) Plaintiff, who
7 was represented by counsel; medical expert Joseph Cools, Ph.D.; and
8 vocational expert Deborah N. Lapoint, appeared and testified. (*Id.*)
9 On March 30, 2007, ALJ Say denied benefits. (Tr. 18-27.) The
10 Appeals Council denied Plaintiff's request for review. (Tr. 5-7.)
11 This appeal followed. Jurisdiction is appropriate pursuant to 42
12 U.S.C. § 405(g).

13 STANDARD OF REVIEW

14 In *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001), the
15 court set out the standard of review:

16 The decision of the Commissioner may be reversed only
17 if it is not supported by substantial evidence or if it is
18 based on legal error. *Tackett v. Apfel*, 180 F.3d 1094,
19 1097 (9th Cir. 1999). Substantial evidence is defined as
20 being more than a mere scintilla, but less than a
21 preponderance. *Id.* at 1098. Put another way, substantial
22 evidence is such relevant evidence as a reasonable mind
23 might accept as adequate to support a conclusion.
24 *Richardson v. Perales*, 402 U.S. 389, 401 (1971). If the
25 evidence is susceptible to more than one rational
26 interpretation, the court may not substitute its judgment
27 for that of the Commissioner. *Tackett*, 180 F.3d at 1097;
28 *Morgan v. Commissioner of Social Sec. Admin.* 169 F.3d 595,
599 (9th Cir. 1999).

The ALJ is responsible for determining credibility,
resolving conflicts in medical testimony, and resolving
ambiguities. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th

26 final and binding. See 20 C.F.R. §§ 404.900(b), .987. Refusal by
27 Commissioner to reopen an administratively final decision is not
28 subject to judicial review. 42 U.S.C. § 405(g).

1 Cir. 1995). The ALJ's determinations of law are reviewed
2 *de novo*, although deference is owed to a reasonable
3 construction of the applicable statutes. *McNatt v. Apfel*,
4 201 F.3d 1084, 1087 (9th Cir. 2000).

5 It is the role of the trier of fact, not this court, to resolve
6 conflicts in evidence. *Richardson*, 402 U.S. at 400. If evidence
7 supports more than one rational interpretation, the court may not
8 substitute its judgment for that of the Commissioner. *Tackett*, 180
9 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579 (9th Cir. 1984).
10 Nevertheless, a decision supported by substantial evidence will
11 still be set aside if the proper legal standards were not applied in
12 weighing the evidence and making the decision. *Browner v. Secretary*
13 *of Health and Human Services*, 839 F.2d 432, 433 (9th Cir. 1988). If
14 there is substantial evidence to support the administrative
15 findings, or if there is conflicting evidence that will support a
16 finding of either disability or non-disability, the finding of the
17 Commissioner is conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-
18 1230 (9th Cir. 1987).

19 SEQUENTIAL PROCESS

20 Also in *Edlund*, 253 F.3d at 1156-1157, the court set out the
21 requirements necessary to establish disability:

22 Under the Social Security Act, individuals who are
23 "under a disability" are eligible to receive benefits. 42
24 U.S.C. § 423(a)(1)(D). A "disability" is defined as "any
25 medically determinable physical or mental impairment"
26 which prevents one from engaging "in any substantial
27 gainful activity" and is expected to result in death or
28 last "for a continuous period of not less than 12 months."
42 U.S.C. § 423(d)(1)(A). Such an impairment must result
from "anatomical, physiological, or psychological
abnormalities which are demonstrable by medically
acceptable clinical and laboratory diagnostic techniques."
42 U.S.C. § 423(d)(3). The Act also provides that a
claimant will be eligible for benefits only if his
impairments "are of such severity that he is not only
unable to do his previous work but cannot, considering his
age, education and work experience, engage in any other

1 kind of substantial gainful work which exists in the
2 national economy . . . " 42 U.S.C. § 423(d)(2)(A). Thus,
3 the definition of disability consists of both medical and
4 vocational components.

5 The Commissioner has established a five-step sequential
6 evaluation process for determining whether a person is disabled. 20
7 C.F.R. §§ 404.1520(a), 416.920(a); see *Bowen v. Yuckert*, 482 U.S.
8 137, 140-42 (1987). In steps one through four, the burden of proof
9 rests upon the claimant to establish a prima facie case of
10 entitlement to disability benefits. *Rhinehart v. Finch*, 438 F.2d
11 920, 921 (9th Cir. 1971). This burden is met once a claimant
12 establishes that a physical or mental impairment prevents him from
13 engaging in him previous occupation. 20 C.F.R. §§ 404.1520(a)(4)(i-
14 iv), 416.920(a)(4)(i-iv). At step five, the burden shifts to the
15 Commissioner to show that (1) the claimant can perform other
16 substantial gainful activity; and (2) a "significant number of jobs
17 exist in the national economy" which claimant can perform. 20
18 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v); *Kail v. Heckler*, 722
19 F.2d 1496, 1498 (9th Cir. 1984).

20 STATEMENT OF FACTS

21 The facts of the case are set forth in detail in the transcript
22 of proceedings, and are summarized briefly here. Plaintiff was 45
23 years old at the time of the administrative hearing. He has a high
24 school education and two years of college, with a degree in Retail
25 Sales. He also is certified to drive a truck. (Tr. 125, 436.) He
26 testified he was living with his 19 year old son who is attending
27 college. (Tr. 437.) He stated his son helps him with personal care,
28 household chores, and cooking. (Tr. 439-41.) Plaintiff has past
work experience as a wood grader, a cabinet assembler, a rug

1 installer for a boat manufacturer, a janitor, a truck driver, and a
2 cook. (Tr. 126, 444-45.) Plaintiff testified he was limited to
3 lifting 15 pounds at a time, sitting in one position for 30 minutes
4 to an hour and a half, standing for about 45 minutes to an hour. He
5 also testified he was limited to walking about six blocks at a time.
6 (Tr. 441-42.)

7 ADMINISTRATIVE DECISION

8 The ALJ found Plaintiff had not engaged in substantial gainful
9 activity since March 14, 2000, alleged onset date. (Tr. 20.) At
10 step two, he found Plaintiff has medically determinable impairments
11 of "major depressive disorder, personality disorder, somatoform
12 disorder, and degenerative disc disease post back surgery." (Tr.
13 21.) He found non-severe impairments of mitral valve prolapse, left
14 shoulder pain, and lesion on Plaintiff's left larynx. (*Id.*) At
15 step three, the ALJ found Plaintiff's impairments alone and in
16 combination did not meet or medically equal a listed impairment in
17 20 C.F.R. Part 404, Subpart P, Appendix 1 (Listings). (*Id.*) Citing
18 affirmative evidence of symptom exaggeration and malingering, the
19 ALJ found Plaintiff's allegations of disabling symptoms were not
20 entirely credible. (Tr. 23-25.) At step four, the ALJ determined
21 Plaintiff had the residual functional capacity (RFC) to perform
22 light work and sedentary work with the following limitations:

23 The claimant would find it necessary to change positions
24 every 45 minutes to an hour. The claimant can occasionally
25 stoop, crouch, crawl, kneel, or balance. He can
26 occasionally climb ramps or stairs, but should never climb
27 ladders, ropes, or scaffolds. The claimant should avoid
28 heights and moving machinery. The claimant has good use
of his arms and hands for repetitive grasping, holding and
turning objects. The claimant is also capable of
performing sedentary work. With respect to functional
limitations, the claimant can understand, remember and
carry out short simple instructions. He should not be

placed in a work setting where he would be required to interact with the general public. The claimant can have superficial contact with supervisors and coworkers.

(Tr. 22.) Based in part on VE testimony, the ALJ concluded Plaintiff could still perform his past relevant work as a cabinet assembler and was, therefore, not disabled as defined by the Social Security Act from March 14, 2000, through the date of his decision.

(Tr. 22-27.)

ISSUES

The question presented is whether there is substantial evidence to support the ALJ's decision denying benefits and, if so, whether that decision is based on proper legal standards. Plaintiff contends the ALJ erred when he (1) rejected examining medical source opinions, (2) rejected the medical expert testimony, (3) failed to include all of his non-exertional limitations in the hypothetical individual presented to the VE at step four, and (4) erroneously relied on the VE testimony. (Ct. Rec. 13 at 14-18.)

DISCUSSION

A. Credibility

As stated by the Ninth Circuit:

An ALJ cannot be required to believe every allegation of disabling pain, or else disability benefits would be available for the asking, a result plainly contrary to 42 U.S.C. § 423 (d)(5)(A). . . . This holds true even where the claimant introduces medical evidence showing that he has an ailment reasonably expected to produce some pain; many medical conditions produce pain not severe enough to preclude gainful employment.

Fair v. Bowen, 885 F.2d 597, 603 (9th Cir. 1989). In deciding whether to admit a claimant's subjective symptom testimony, the ALJ must engage in a two-step analysis. *Smolen v. Chater*, 80 F.3d 1273, 1281 (9th Cir. 1996). Under the first step, the claimant must

1 produce objective medical evidence of underlying "impairment," and
2 must show that the impairment, or a combination of impairments,
3 "could reasonably be expected to produce pain or other symptoms."
4 See *Cotton v. Bowen*, 799 F.2d 1403, 1407 (9th Cir. 1986)(*overruled*
5 *on other grounds*). If this test is satisfied, the ALJ may reject a
6 claimant's testimony if he finds affirmative evidence of
7 malingering. *Benton v. Barnhart*, 331 F.3d 1030, 1040 (9th Cir.
8 2003). If malingering is established, the adjudicator is not bound
9 by the "clear and convincing standard" articulated in *Lester v.*
10 *Chater*, 81 F.3d 821, 834 (9th Cir. 1995) (without affirmative
11 evidence of malingering, a claimant's testimony may be rejected only
12 with specific "clear and convincing" reasons). See, e.g., *Rollins*
13 *v. Massanari*, 261 F.3d 853, 857 (9th Cir. 2001). Determination of
14 credibility is a function solely of the Commissioner. *Fair*, 885
15 F.2d at 604.

16 The record on review is replete with affirmative evidence of
17 malingering and exaggeration of symptoms by Plaintiff. As found by
18 the ALJ, the record also shows that psychological evaluators and
19 treating medical professionals consistently expressed concern that
20 due to Plaintiff's exaggeration and inconsistencies in reporting,
21 accurate diagnoses and assessment of physical and limitations were
22 difficult to make and/or confirm. (Tr. 171, 178, 182, 214, 280, 282,
23 284, 375, 394.) The ALJ's credibility determination is supported by
24 specific findings supported by substantial evidence and has not been
25 challenged.

26 **B. Evaluation of the Medical Evidence**

27 Plaintiff argues the ALJ failed to credit opinions of accepted
28 medical sources who opined his mental impairments cause marked

1 limitations in social and cognitive functioning that would preclude
2 work. Specifically, Plaintiff contends the ALJ did not give legally
3 sufficient reasons for rejecting the opinions of treating
4 psychologist John Arnold, Ph.D., examining psychologists John McRae,
5 Ph.D., and Pamela Ridgway, Ph.D., and medical expert Joseph Cools,
6 Ph.D. (Ct. Rec. 13 at 15-17.) He also argues the medical expert's
7 testimony should be credited because it is consistent with
8 limitations opined by Dr. Ridgeway "despite symptom exaggeration."
9 (*Id.* at 18.) Plaintiff argues the medical evidence, despite evidence
10 of malingering, supports a finding of disability.

11 In evaluating a disability claim, the adjudicator must consider
12 all medical evidence provided. A treating or examining physician's
13 opinion is given more weight than that of a non-examining physician.
14 *Benecke v. Barnhart*, 379 F.3d 587, 592 (9th Cir. 2004). If the
15 treating physician's opinions are not contradicted, they can be
16 rejected by the decision-maker only with "clear and convincing"
17 reasons. *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1995). If
18 contradicted, the ALJ may reject the opinion with specific,
19 legitimate reasons that are supported by substantial evidence.
20 *Lester*, 81 F.3d at 830-31; *Flaten v. Secretary of Health and Human*
21 *Serv.*, 44 F.3d 1453, 1463 (9th Cir. 1995). In addition to medical
22 reports in the record, the testimony of a non-examining medical
23 expert selected by the ALJ may be helpful in his adjudication.
24 *Andrews*, 53 F.3d at 1041; *Magallanes v. Bowen*, 881 F.2d 747, 753 (9th
25 Cir. 1989). Testimony of a medical expert may serve as substantial
26 evidence when supported by other evidence in the record. *Id.*
27 However, final resolution of conflicts in the medical evidence is
28 the sole responsibility of the ALJ. *Andrews*, 53 F.3d at 1039.

1 Historically, the courts have recognized conflicting medical
2 evidence, the absence of regular medical treatment during the
3 alleged period of disability, and the lack of medical support for
4 doctors' reports based substantially on a claimant's subjective
5 complaints of pain as specific, legitimate reasons for disregarding
6 the treating physician's opinion. *Flaten*, 44 F.3d at 1463-64; *Fair*,
7 885 F.2d at 604. The ALJ need not accept a treating source opinion
8 that is "brief, conclusory and inadequately supported by clinical
9 finding." *Lingenfelter v. Astrue*, 504 F.3d 1028, 1044-45 (citing
10 *Thomas v. Barnhart*, 278 F.3d 947, 957 (9th Cir. 2002)). Where an ALJ
11 determines a treating or examining physician's stated opinion is
12 materially inconsistent with the physician's own treatment notes,
13 legitimate grounds exist for considering the purpose for which the
14 doctor's report was obtained and for rejecting the inconsistent,
15 unsupported opinion. *Nguyen v. Chater*, 100 F.3d 1462, 1464 (9th Cir.
16 1996.) Rejection of an examining medical source opinion is specific
17 and legitimate where the medical source's opinion is not supported
18 by his own medical records and/or objective data. *Tommasetti v.*
19 *Astrue*, 533 F.3d 1035, 1041(9th Cir. 2008)(rejection of opinion which
20 is a reiteration of claimant's own unreliable statements is specific
21 and legitimate).

22 In addition to accepted medical source opinions, the ALJ is
23 required to consider observations by "other sources," i.e., nurse
24 practitioners, physician's assistants, mental health therapists, and
25 social workers, as to how an impairment affects a claimant's ability
26 to work. 20 C.F.R. §§ 404.1513(d), 416.913(d); *Sprague*, 812 F.2d at
27 1232. Although, under the Regulations, other sources cannot
28 establish a medically determinable impairment, the Commissioner has

1 ruled that weight given to their opinions must be evaluated on the
2 basis of certain factors, e.g., their professional qualifications,
3 how consistent their opinions are with the other evidence, the
4 amount of evidence provided in support of their opinions, whether
5 the other source opinion is well-explained, and whether the other
6 source "has a specialty or area of expertise related to the
7 individual's impairment." *Social Security Ruling (SSR) 06-03p*. An
8 adjudicator may consider these factors in giving the non-medical
9 treatment provider's opinion more weight than that of an acceptable
10 medical source. *Id.* A full explanation of the weight given an
11 other source opinion must be included in the ALJ's decision when the
12 other source is given greater weight than a treating source opinion.
13 *Id.*

14 **1. Treating psychologist: John Arnold, Ph.D.**

15 The record indicates Dr. Arnold provided mental health
16 treatment to Plaintiff through Community Health Association of
17 Spokane (CHAS) from June 2004 through December 2005. (Tr. 24.) As
18 found by the ALJ, Dr. Arnold completed an agency evaluation in
19 December 2004, in which he diagnosed several mental disorders and
20 indicated marked cognitive and social functional limitations in
21 exercising judgment, relating appropriately to co-workers and
22 supervisors and ability to tolerate the pressure of a normal work
23 setting. (Tr. 309-11.) It appears the 2004 evaluation is based on
24 clinic notes from four sessions (June to November) which record
25 Plaintiff's subjective complaints and Dr. Arnold's observations.
26 (Tr. 304-08.) In 2007, Dr. Arnold completed a psychological
27 evaluation based on an interview, mental status exam and objective
28 testing (MMPI-2.) (Tr. 388-90.) Dr. Arnold noted objective test

1 results were of questionable validity due to over-reporting by
2 Plaintiff. (Tr. 389.) However, he diagnosed depression, NOS,
3 undifferentiated somatoform disorder, problematic gambling, rule out
4 malingering, and personality disorder with schizotypal and
5 borderline features. (Tr. 390.) In the accompanying agency
6 evaluation form (Tr. 25, 385-87), Dr. Arnold noted mild to moderate
7 functional limitations that would last three months.

8 The ALJ credited Dr. Arnold's opinions regarding diagnoses when
9 he found Plaintiff had the severe impairments of major depressive
10 disorder, personality disorder, and somatoform disorder. (Tr. 21,
11 24.) In his discussion of Dr. Arnold's other opinions, the ALJ
12 found that, although Dr. Arnold did not specifically diagnose
13 malingering, he expressed concerns of secondary gain and exaggerated
14 symptoms with other medical providers at CHAS. (Tr. 24, 280, 282.)
15 He also found the 2004 marked limitations were inconsistent with the
16 record as a whole, and gave more weight to Dr. Arnold's 2007
17 narrative report and assessed limitations. (Tr. 22, 24.) The ALJ's
18 reasoning is specific, legitimate, and supported by the record in
19 its entirety.² Further, it is reasonable that the ALJ gave less
20

21 ² The record shows the medical opinions relied upon by
22 Plaintiff are contradicted; therefore, the "specific and legitimate"
23 standard applies. *Lester*, 81 F.3d at 831. For example, in 2005,
24 reviewing psychologist Gerry Gardner, Ph.D. opined Plaintiff had no
25 severe mental impairments (Tr. 195), and examining psychiatrist
26 Robert Baxley, M.D., opined Plaintiff was fixed and stable from a
27 psychiatric perspective and fully employable "if he so chose to
28 work." (Tr. 181.)

1 weight to Dr. Arnold's 2004 assessment of limitations (which is
2 brief, unexplained, and based exclusively on Plaintiff's self-
3 report) than the 2007 evaluation, which included a formal mental
4 status exam and objective testing. *Tommasetti*, 533 F.3d at 1041.
5 The ALJ properly considered Dr. Arnold's reports and gave weight to
6 those opinions supported by substantial evidence.

7 **2. Examining Psychologists: John McRae, Ph.D. and Pamela**
8 **Ridgway, Ph.D.³**

9 Drs. McRae and Ridgway examined Plaintiff in 2005 and 2007,
10 respectively. (Tr. 372-83, 391-402.) Both psychologists prepared
11 a narrative report and completed a checkbox form assessing several
12 cognitive and social functioning limitations. The ALJ rejected the
13 findings of moderate and marked limitations in the checkbox forms
14 because they were inconsistent with the narrative reports in which
15 the psychologists described Plaintiff's exaggeration of symptoms.
16 As found by the ALJ, both psychologists considered Plaintiff to be
17 malingering. (Tr. 25.) Specifically, the ALJ noted Dr. McRae's
18 conclusion that, due to Plaintiff's exaggeration and dramatic
19 behavior, he did not have sufficient information to determine "how
20

21 ³ Although the ALJ briefly discussed and gave little weight to
22 examining psychologist Dr. Gary Lauby's psychological evaluation
23 dated August 11, 2003, (Tr. 27, 157-60), this evidence is not
24 relevant to Plaintiff's current application. As found by the ALJ,
25 Plaintiff's prior claims were not re-opened and the previous
26 determination of not-disabled through March 16, 2004, is final and
27 binding. (Tr. 18.) Therefore, the relevant period at issue in
28 these proceedings begins March 17, 2004.

1 limiting the claimant's mood and personality disorders might be."⁴
2 (Tr. 378-79.) Thus, the ALJ found by Dr. McRae's own admission, he
3 could not make a valid determination of Plaintiff's limitations.
4 (Tr. 25.) This is a specific and legitimate reason to disregard a
5 medical opinion. *Tommasetti*, 533 F.3d at 1041; *Crane v. Shalala*, 76
6 F.3d 251, 254 (9th Cir. 1996). As a result, the ALJ gave little
7 weight to limitations indicated on Dr. McRae's check box evaluation.
8 (Tr. 25.)

9 Likewise, the ALJ rejected moderate and marked limitations
10 assessed by Dr. Ridgway on her Medical Source Statement (MSS). ⁵
11

12 ⁴ It is noted on independent review that Dr. McRae suggested
13 the opinions of treating providers might provide a more valid
14 indication of Plaintiff's ability to function. (Tr. 378.) As found
15 by the ALJ, Plaintiff's treating nurses and physicians' assistants
16 noted his inconsistent statements and exaggerated behavior on exam.
17 Based on their treatment relationship with Plaintiff, these medical
18 providers concluded his condition was fixed and stable, and he was
19 capable of work without limitations. (Tr. 24, 217-299, 252.)
20 Referencing specific findings in the record, the ALJ properly gave
21 these "other source" opinions significant consideration. 20 C.F.R.
22 §§ 404.1513(d), 416.913(d); SSR 06-03p (weight given to treating
23 health care provider opinions must be evaluated on the basis of the
24 sources' qualifications, their treatment relationship, how
25 consistent their opinions are with the other evidence, and the
26 amount of evidence provided in support of their opinions).

27 ⁵ Although the ALJ found Dr. Ridgway "indicated several
28 moderate and severe limitation" (Tr. 26), a review of the report

(Tr. 26.) As explanation of these functional limitations, Dr. Ridgway referred the reader to her narrative report. (Tr. 401, 402.) The narrative report shows Dr. Ridgway was quite clear as to Plaintiff's malingering. "Current findings also indicate that he produced results consistent with malingering during objective psychological testing, and virtually all of claimant's mental health providers and/or evaluators have noted his dramatic and exaggerated presentation." (Tr. 397.) She then opined that "it is not entirely possible to rule out the possibility that Mr. Davis may exhibit some degree of legitimate psychological personality disorder," that had been characterized as numerous mental disorders throughout the record. (Tr. 397.) Based on her evaluation, Dr. Ridgway diagnosed pain disorder associated with psychological and medical factors, dysthymic disorder, malingering, and personality disorder, NOS "with borderline and negativistic features." (*Id.*)

The ALJ first noted that Dr. Ridgway considered Plaintiff to be malingering. (Tr. 25, 397.) He then found Dr. Ridgway's assessed limitations in the MSS were consistent with Plaintiff's exaggerated complaints. (Tr. 25-26.) While the ALJ did not use the words "I reject Dr. Ridgway's MSS findings," the court can read the ALJ's summary of the evidence and draw reasonable inferences. *Magallanes*, 881 F.2d at 755. As discussed above, the ALJ properly found evidence of malingering and discounted Plaintiff's allegations; therefore it is a reasonable inference that the ALJ discounted Dr. Ridgway's opinions that reflect Plaintiff's self-reported, rejected

shows limitations assessed were "moderate" and "marked." (Tr. 399-401.)

1 limitations. *Tommasetti*, 533 F.3d at 1041. ALJ Say also found
2 "moderate and severe" limitations assessed by Dr. Ridgway were not
3 consistent with the objective test results or the 2007 opinions
4 rendered by Plaintiff's treating psychologist, Dr. Arnold. (Tr.
5 26.) These are specific and legitimate reasons to reject the
6 limitations identified by the ALJ.

7 Regarding her opinion the claimant's prognosis for maintaining
8 employment "was quite poor," the ALJ is not obliged to accept this
9 opinion as controlling. *Richardson*, 402 U.S. at 400; *Andrews*, 53
10 F.3d at 1039; *SSR 96-8p*. As discussed below, the ALJ properly
11 considered all the evidence in the record, resolved the medical
12 conflicts and issue of credibility to arrive at his RFC and
13 disability determinations.

14 In summary, the ALJ gave legally sufficient reasons for
15 rejecting the examining psychologists' moderate and marked
16 functional limitations included on the check box form evaluations.
17 His reasoning is supported not only by the clear evidence of
18 malingering, but also by inconsistencies between the psychologists'
19 narratives and conclusions regarding limitations, and their
20 observations of inconsistencies between Plaintiff's behavior, self-
21 report and test results. *Fair*, 885 F.2d at 604.

22 **3. Non-examining Medical Expert: Joseph Cools, Ph.D.**

23 Plaintiff asserts the ALJ was obliged to accept the medical
24 expert's opinions because they were based on a review of the record
25 in its entirety. (Ct. Rec. 13 at 18.) However, the decision to
26 call a medical expert for opinions on the nature and severity of
27 impairments is within the discretion of the ALJ. Further, in
28 discharging his responsibility for resolving conflicts among medical

1 opinions, the ALJ may reject a medical expert's opinion if it is
2 inconsistent with the record as a whole. *Finch v. Astrue*, 547 F.3d
3 933, 936 (8th Cir. 2008).

4 Here, Dr. Cools testified that, based on his review of the
5 record, it was clear Plaintiff was malingering, but he advised
6 against ignoring the fact that Plaintiff exhibited symptoms of a
7 personality disorder and depression. (Tr. 431.) He opined
8 Plaintiff had several marked functional limitations that would
9 preclude him from "surviving in a work like setting." (Tr. 432.)
10 As noted by the ALJ, Dr. Cools cited Plaintiff's self-reported
11 psychiatric hospitalizations as a child, problems relating with
12 other people and suicide ideation as support for his opinions. (Tr.
13 432.) However, the ALJ properly found there is no evidence (other
14 than Plaintiff's unreliable self report) to support these
15 allegations. (Tr. 26.)

16 The ALJ gave Dr. Cools' opinions regarding the marked and
17 moderate limitations in functioning little weight because Dr. Cools
18 appeared to accept at face value the exaggerated symptoms, the claim
19 of suicide gesture, and psychiatric problems as a youth claimed by
20 Plaintiff, even though recent psychological testing confirmed
21 malingering. (*Id.*) The ALJ's reasoning for rejecting Dr. Cools'
22 functional assessment also is supported by the hearing transcript,
23 which shows that Dr. Cools testified, "The claimant is so focused on
24 wanting to get disability that he has no interest in working." (Tr.
25 432.)

26 The ALJ properly explained the weight given to Dr. Cools'
27 opinions regarding Plaintiff's extreme functional limitations.
28 Nonetheless, ALJ Say accepted Dr. Cools' diagnoses of personality

1 disorder and major depressive disorder. (Tr. 21, 431.) Further,
2 consistent with Dr. Cools' testimony that Plaintiff had limitations
3 in his ability to understand or remember detailed complex
4 instructions and relate to other people, including co-workers,
5 supervisors or the general public, (Tr. 434-35), the ALJ found
6 Plaintiff was limited to work involving short, simple instructions,
7 to work settings where he would not have to interact with the
8 general public, and could have only superficial contact with
9 supervisors and co-workers. (*Id.*, Tr. 22, 433.)

10 As found by the Ninth Circuit, "A physician's opinion of
11 disability 'premised to a large extent upon the claimant's own
12 accounts of his symptoms and limitations' may be disregarded where
13 those complaints have been 'properly discounted.'" *Morgan*, 169 F.3d
14 at 602. (Citations omitted.) Because the ALJ clearly identified
15 significant affirmative evidence of malingering, the ALJ properly
16 discounted Plaintiff's complaints. He did not err in discounting
17 those medical opinions based on Plaintiff's self-reported
18 limitations. Because the resolution of conflicts in the medical
19 evidence is the sole province of the Commissioner, these findings,
20 which are supported by substantial evidence, may not be disturbed.

21 **C. RFC Determination**

22 Although Plaintiff believes he is more limited than the ALJ
23 found, the question before the reviewing court is not whether the
24 record supports Plaintiff's belief, but whether there is substantial
25 evidence to support the ALJ's finding that Plaintiff can still work,
26 and whether the decision is free of legal error. *Tackett*, 180 F.3d
27 at 1097. The RFC determination represents the most a claimant can
28 still do despite his physical and mental limitations. 20 C.F.R. §§

1 404.1545, 416.945. The RFC assessment is not a "medical issue"
2 under the Regulations; it is based on all relevant evidence in the
3 record, not just medical evidence. *Id.*; SSR 96-5p. As discussed
4 above, the ALJ's rejection of exaggerated and disabling limitations
5 reported by Plaintiff to the various medical examiners is supported
6 by significant evidence of malingering. The ALJ's RFC assessment
7 reflects thoughtful consideration of medical opinions in the entire
8 record and represents a rational interpretation of that evidence in
9 light of the affirmative evidence of malingering. Therefore, the
10 court may not substitute its judgment for that of the Commissioner.

11 Because the ALJ properly discounted Dr. Cools' opinions
12 regarding disabling limitations, the ALJ was not obliged to include
13 those limitations in the hypothetical question. The record shows
14 the hypothetical individual presented to the VE reflects the
15 affirmed RFC (Tr. 446-47); therefore, the ALJ properly relied on the
16 VE's testimony. *Embrey v. Bowen*, 849 F.2d 418, 422-23 (9th Cir.
17 1988). Accordingly,

18 **IT IS ORDERED:**

19 1. Plaintiff's Motion for Summary Judgment (**Ct. Rec. 12**) is
20 **DENIED.**

21 2. Defendant's Motion for Summary Judgment dismissal (**Ct.**
22 **Rec. 14**) is **GRANTED.**

23 The District Court Executive is directed to file this Order and
24 provide a copy to counsel for Plaintiff and Defendant. The file
25 shall be **CLOSED** and judgment entered for **DEFENDANT.**

26 DATED August 4, 2010.

27 S/ CYNTHIA IMBROGNO
28 UNITED STATES MAGISTRATE JUDGE